

BRIDGEWATER JAMES LIMITED

Business Continuity Plan Disclosure Statement

Bridgewater James Limited, LLC (the “Firm”) has developed a Business Continuity Plan (“BCP”) regarding how the Firm will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information regarding our BCP.

Contacting Us - If after a significant business disruption you cannot contact us as you usually do, you should call William Bennington on his alternate telephone number +1 (415) 602-7001 or write to him at wbennington@bridgewaterjames.com.

Our Business Continuity Plan - We plan to quickly recover and resume business operations after a significant business disruption, responding by safeguarding our employees and property, making a financial and operational assessment, protecting the Firm's books and records, and quickly returning to working on our clients’ projects. In short, our BCP is designed to permit our Firm to resume operations as quickly as possible, within the scope and severity of the significant business disruption. Our BCP addresses: data backup and recovery; all mission critical systems; financial and operational assessments; alternative communications with clients, investors, employees, and regulators; alternate physical location of employees; critical supplier impact; and regulatory reporting.

Varying Disruptions - Significant business disruptions can vary in their scope, such as only our Firm, a single building housing our Firm, the business district where our Firm is located, the city where we are located, or a whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our Firm or a building housing our Firm, we will transfer our operations to a local site when needed and seek to recover and resume business within two weeks. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area, and seek to recover and resume business within two weeks. In either situation, we plan to continue in business and will notify clients regarding how to maintain contact with the Firm.

For more information - If you have questions about our business continuity planning, please contact us at (415) 684-9466.